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## INDEPENDENCE NUMBERS OF GRAPHS – AN EXTENSION OF THE KOENIG–EGERVARY THEOREM

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Let  $G$  be an arbitrary finite, undirected graph with no loops nor multiple edges. In this paper the inequality  $\beta_0 \leq n - \beta_1$  is investigated where  $\beta_0$  is the independence number of  $G$ ,  $n$  is the number of vertices, and  $\beta_1$  is the cardinality of a maximum edge matching. The class of graphs for which equality holds is characterized. A polynomially-bounded algorithm is given which tests an arbitrary graph  $G$  for equality, and computes a maximum independent set of vertices when equality holds. Equality is “prevented” by the existence of a blossom-pair – a subgraph generated by a certain subset  $m_i$  of edges from a maximum edge matching  $M$  for  $G$ . It is shown that  $\beta_0 = n - \beta_1 - |R|$  where  $R$  is a minimum set of representatives of the family  $\{m_i\}$  of blossom pair-generating subsets of  $M$ . Finally, a polynomially-bounded algorithm is given which partitions an arbitrary graph  $G$  into subgraphs  $G_0, G_1, \dots, G_q$  such that  $\beta_0(G) = \sum_{i=0}^q \beta_0(G_i)$ . Moreover, if arbitrary maximum independent subsets of vertices  $S_1, S_2, \dots, S_q$  are known, then a polynomially-bounded algorithm computes a maximum independent set  $S_0$  for  $G_0$  such that  $S = \bigcup \{S_i; i = 0, 1, \dots, q\}$  is a maximum independent subset for  $G$ .

### 1. Introduction

Graphs considered here are assumed to be finite, undirected, with no loops, and with no multiple edges. The number of vertices is denoted by  $n$ , the cardinality of a largest set of independent vertices by  $\beta_0$ , and the cardinality of a largest edge matching is denoted by  $\beta_1$ . Trivially

$$\beta_0 \leq n - \beta_1 \tag{1}$$

for an arbitrary graph  $G$ , and it is well-known that equality holds in (1) for bipartite graphs (Koenig–Egervary theorem). In Section 2, the class of all graphs for which equality holds in (1) is characterized. In addition an algorithm, which when used with Edmond’s edge-matching algorithm [1], gives a polynomially-bounded algorithm which produces a maximum independent set of vertices, or indicates that equality does not hold in (1). For convenience, graphs which satisfy equality in (1) will be called *Koenig-Egervary graphs*, or simply *K–E graphs* in this paper.

Let  $M$  be a maximum edge matching in an arbitrary graph  $G$ . Equality in (1) is prevented by the existence of certain subgraphs  $B_i$  (blossom pairs) defined in Section 2. These subgraphs are generated by subsets  $m_i$  of  $M$ . Let  $\mathcal{M} = \{m_i\}$  be the

family of all blossom pair-generating subsets of  $M$ . It is shown in Section 4 that  $(n - \beta_1) - \beta_0$  is the cardinality of a minimum set of representatives of  $M$ ; i.e., a subset  $r \subset M$  of minimum size for which  $r \cap m_i \neq \emptyset$  for all  $m_i \in M$ . Moreover; if such a set  $r$  is known, the problem of finding a maximum independent set of vertices for  $G$  can be solved thereon by a polynomially-bounded algorithm. Using the results of Section 4, a polynomially-bounded algorithm is developed in Section 5 which partitions an arbitrary graph  $G$  into subgraphs  $G_0, \dots, G_q$  such that  $\beta_0(G) = \sum_{i=0}^q \beta_0(G_i)$ . In addition, once maximum independent sets  $S_i$  of vertices for  $G_1, \dots, G_q$  are known, a maximum independent set of vertices for  $G$  can be computed efficiently.

## 2. K-E Graphs

Let  $G$  be an arbitrary graph and let  $M$  be a maximum edge-matching for  $G$ . The edges of  $M$  will be called *heavy*; those not in  $M$  will be called *light*. An *alternating* path from vertex  $x$  to vertex  $y$  is a path  $p(x, y)$  whose edges are alternately light and heavy. An alternating path may begin (or end) with either a light or heavy edge. A vertex  $x$  is *exposed* relative to  $M$  (or *not spanned* by  $M$ ) if  $x$  is not the endpoint of a heavy edge. An odd cycle  $u_0, u_1, \dots, u_{2k}, u_0, k \geq 1$ , is called a *blossom* if edges  $u_1u_2, u_3u_4, \dots, u_{2k-1}u_{2k}$  are heavy. Vertex  $u_0$  is called the *blossom tip*. (This terminology is that of Edmonds [1]).

If  $S$  is a maximum independent set of vertices in  $G$  such that

$$|S| = n - |M|, \quad (2)$$

then

- (a)  $S$  necessarily contains every exposed vertex, and
- (b)  $S$  contains exactly one endpoint of each heavy edge.

In view of (b),  $S$  contains exactly one of the vertices  $u_1$  or  $u_{2k}$  in a blossom  $u_0, u_1, \dots, u_{2k}, u_0$ ; hence  $S$  does not contain a blossom tip. One may conclude then that (2) cannot be satisfied by a maximum independent set  $S$  if

There is an alternating path  $u_1, \dots, u_{2k-1}$  ( $k \geq 1$ ) where  $u_2u_3, \dots, u_{2k-2}u_{2k-1}$  are heavy edges and  $u_1$  is exposed,  $u_{2k-1}$  is a blossom tip. (Note: for  $k = 1$ ,  $u_1$  is both exposed and a blossom tip) (3)

or if;

there is an alternating path  $u_1, u_2, \dots, u_{2k}$  ( $k \geq 1$ ) where  $u_1u_2$  and  $u_{2k-1}u_{2k}$  are heavy and  $u_1$  and  $u_{2k}$  are blossom tips. (4)

As it turns out, if neither of configurations (3) or (4) is present then Algorithm A produces a maximum independent set of vertices  $S$  which satisfies (2). (The author is grateful for the referee's suggestions which greatly simplifies this algorithm and subsequent results in this paper.) It is assumed that a maximum edge matching  $M$  for  $G$  has been computed.

**Algorithm A.**

**Step 0.** Let  $S = \emptyset$  and  $H = G$ .

**Step 1.** If  $H = \emptyset$ , stop.  $S$  is the desired independent set. If  $H$  contains an exposed vertex  $z$ , color  $z$  red and set  $\text{FLAG} = 1$ . If there are no exposed vertices, select a heavy edge in  $H$ , color one of its vertices red, the other blue, and set  $\text{FLAG} = 0$ .

**Step 2.** If no red vertex is adjacent to an uncolored vertex, place all red vertices in  $S$ , delete all colored vertices from  $H$ , and return to Step 1. If red vertex  $u$  is adjacent to uncolored vertex  $v$ , then edge  $uv$  is light and there is a heavy edge  $vw$  where  $w$  is necessarily uncolored also. (If there is no heavy edge  $vw$ ,  $v$  is exposed and there is an odd alternating path  $z, u_1, \dots, u_{2k}, v$  with edges  $u_1u_2, \dots, u_{2k-1}u_{2k}$  heavy. Since  $z$  is exposed, this contradicts the fact that  $M$  was a maximum edge matching.) Color  $v$  blue and  $w$  red. Define a "predecessor function"  $p$  by  $p(v) = u$  and  $p(w) = v$ .

**Step 3.** If no two red vertices are adjacent, return to Step 2. If two red vertices are adjacent, a blossom has been found whose tip  $x$  is pointed out by the predecessor function  $p$ . If  $\text{FLAG} = 0$ ;  $x$  is an endpoint of a heavy edge  $xv$ ; erase all colors, color  $x$  blue,  $y$  red, set  $\text{FLAG} = 2$ , and return to Step 2. If  $\text{FLAG} = 1$ ; the predecessor function points out a path from the blossom tip  $x$  and the exposed vertex  $z$ . Stop; by virtue of (3), the desired independent set  $S$  does not exist. If  $\text{FLAG} = 2$ ; the predecessor function points out a path between two blossom tips. Stop; by virtue of (4), the desired independent set  $S$  does not exist.

Algorithm A terminates when

- (a)  $H$  is empty in case the desired independent set  $S$  has been found, or
- (b) when a configuration of type (3) or (4) is found in which case the desired independent set  $S$  does not exist.

Thus K-E graphs can be characterized as those graphs free of configurations (3) and (4) relative to a maximum edge matching  $M$ . Note that existence of configurations (3) and (4) does not depend on the choice of maximum matchings since  $\beta_0$  cannot simultaneously be both equal to and strictly less than  $n - \beta_1$ .

If  $G$  admits a perfect matching  $M$  (no exposed vertices) then  $G$  is K-E iff no configuration of type (4) is present. An arbitrary graph  $G$  with maximum edge matching  $M$  can be extended to a graph  $G'$  with the properties

- (a)  $G'$  admits a perfect matching  $M' \supseteq M$ .
- (b)  $G'$  is K-E iff  $G$  is K-E.
- (c)  $\beta_0(G') = \beta_0(G)$ ; moreover a maximum independent set  $S$  for  $G$  can be obtained from a maximum independent set  $S'$  for  $G'$  by a simple replacement operation.

To construct  $G'$ , let  $X$  be the set of exposed vertices of  $G$  relative to a maximum matching  $M$ . For each  $x \in X$ , add a new vertex  $x'$  and new edges  $xx'$  and  $\{x'y : y \text{ is adjacent to } x\}$ .  $M' = M \cup \{xx' : x \in X\}$  is a perfect matching for  $G'$ . If  $S'$  is a maximum independent set of vertices in  $G'$ , replace each "new" vertex  $x' \in S'$  by

its associate  $x \in X$ . The resulting set  $S$  is independent in  $G$  and  $|S| = |S'|$ . Since  $\beta_0(G) \leq \beta_0(G')$ ,  $|S| = |S'|$  implies that  $\beta_0(G) = \beta_0(G')$ . Furthermore;  $n(G') - \beta_1(G') = n(G) - \beta_1(G)$ . Thus  $G'$  is K-E iff  $G$  is K-E.

A configuration of type (4) will be called a *blossom pair*. Thus, if  $G$  admits a perfect matching  $M$  then  $G$  is K-E iff  $G$  contains no blossom pair relative to  $M$ . More generally

**Theorem 1.** *An arbitrary graph  $G$  is K-E  $\Leftrightarrow$  for any maximum matching  $M$ , the extension  $G'$  with perfect matching  $M'$  contains no blossom pair.*

An alternate characterization of K-E graphs is useful for further analysis of the inequality (1)  $\beta_0 \leq n - \beta_1$ . Let  $M$  be a perfect matching for  $G$ . (In view of the preceding paragraph, there is no loss of generality in assuming  $M$  to be a perfect matching.) Let  $x_1x_2$  and  $y_1y_2$  be heavy edges belonging to a blossom pair  $B$ . Then for each  $i, j = 1, 2$ , there is an alternating path  $p(x_i, y_j)$  beginning and ending with light edges and contained in  $B$ . Conversely; two heavy edges  $x_1x_2$  and  $y_1y_2$  of  $G$  will be called *biconnected* if there are alternating paths  $p(x_i, y_j)$  for  $i = 1, 2; j = 1, 2$  beginning and ending with light edges. A straightforward argument shows that a pair of biconnected heavy edges  $x_1x_2$  and  $y_1y_2$  are contained in a blossom pair  $B$ . Thus

**Theorem 2.** *An arbitrary graph  $G$  is K-E  $\Leftrightarrow$  for any maximum matching  $M$ , the extension  $G'$  contains no pair of biconnected heavy edges.*

We conclude with several examples.

**Example 1.** View Fig. 1. In (a)  $K_4$  with a perfect matching is a blossom pair with blossom tips  $u_0$  and  $w_0$ . On the other hand, a graph may contain  $K_4$  and yet be

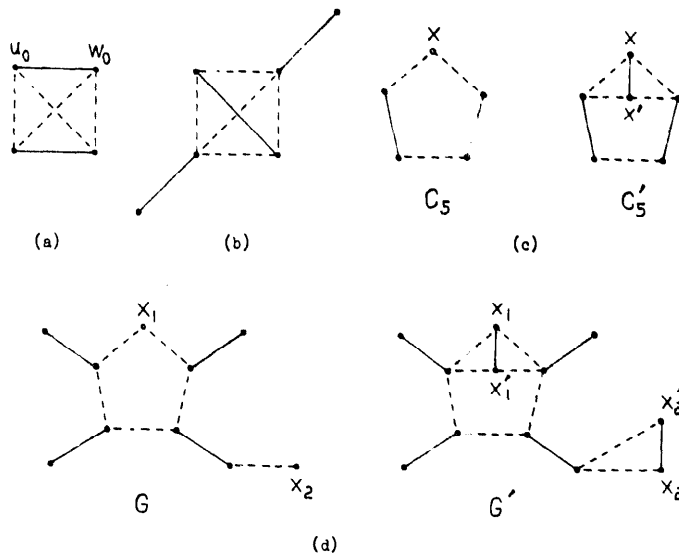


Fig. 1

K-E (b). (c) and (d) illustrate the extension of a graph to a graph with a perfect matching, one of which is not K-E (c) while the other is K-E (d).

### 3. An application to set covering and graph coloring

Let  $X$  be a finite set and  $A = \{A_1, \dots, A_m\}$  be a family of subsets of  $X$  which covers  $X$ . Let  $G(X, A)$  be the graph with vertices  $X$  and edges  $x_i x_j$  if  $i \neq j$  and  $x_i$  and  $x_j$  both belong to some  $A_k \in A$ . A straightforward argument shows that  $l$ , the cardinality of a minimum subfamily of  $A$  which covers  $X$  satisfies

$$\beta_0(G) \leq l \leq n(G) - \beta_1(G). \quad (5)$$

If  $G$  is K-E, then  $l = n(G) - \beta_1(G)$ . The latter number is the cardinality of a minimum edge cover (of vertices) of  $G$  which can be obtained from a maximum matching by well-known methods. If  $x_1 x_2, \dots, x_{2l-1} x_{2l}$  is a minimum edge cover, choose  $A_{i_1}, \dots, A_{i_l}$  from  $A$  such that  $A_{i_i}$  contains both  $x_i$  and  $x_{i+1}$ . This gives a minimum cover of  $X$ .

As a special case, let  $X$  be the vertices of a graph  $G$  and let  $\mathcal{S} = \{S_1, \dots, S_m\}$  be the family of all independent sets of vertices of  $G$ , or, equivalently,  $\mathcal{S}$  is the family of all cliques in the graph-theoretic complement  $G^*$  of  $G$ . The cardinality of a minimum cover of  $X$  by members of  $\mathcal{S}$  is the chromatic number of  $G$ . Moreover, the graph  $G(X, \mathcal{S})$  is precisely  $G^*$ . Applying (5)

$$\beta_0(G^*) \leq \chi(G) \leq n(G^*) - \beta_1(G^*) \quad (6)$$

where  $\chi(G)$  is the chromatic number of  $G$ . If  $G^*$  is K-E, a minimum edge cover of  $G^*$  determines a minimum coloring for  $G$ .

### 4. An identity for arbitrary graphs

Let  $M$  be a perfect matching for  $G$  and let  $b = \{b_1, \dots, b_q\}$  where  $b_i = B_i \cap M$  and  $B_i$  is a blossom pair in  $G$  relative to  $M$ ; that is, each  $b_i$  consists of all heavy edges belonging to some blossom pair  $B_i$ . A subset  $R = \{e_1, \dots, e_p\}$  of heavy edges of  $M$  is a *minimum set of representatives* of  $b$  if (1)  $R \cap b_i$  is not empty for each  $i = 1, \dots, q$ , and (2) no subset of  $M$  with smaller cardinality than  $R$  has property (1). We abbreviate a minimum set of representatives as an *m.s.r.* The basic result of this section is

**Theorem 3.** *If  $R = \{e_1, \dots, e_p\}$  is an m.s.r. for the set  $b$ , then  $\beta_0(G) = n(G) - \beta_1(G) - |R|$ .*

We require two lemmas to prove Theorem 3.

**Lemma 1.** *If  $\beta_0(G) > n(G) - \beta_1(G) - |R|$ , then every maximum independent subset  $S$  contains an endpoint of at least one edge in  $R$ .*

**Proof.** Suppose not. Delete the vertices spanned by the edges of  $R$  from  $G$ . Let  $G_1$  be the resulting subgraph. Our assumption that there is an  $S$  which does not contain an endpoint of an edge if  $R$  implies  $\beta_0(G_1) = \beta_0(G)$ . But  $G_1$  is  $K-E$ ; so

$$\beta_0(G) = \beta_0(G_1) = n(G_1) - \beta_1(G_1) = n(G) - \beta_1(G) - |R|,$$

a contradiction to  $\beta_0(G) > n(G) - \beta_1(G) - |R|$ .

**Lemma 2.**  $|R| \leq |M| - 1$  for every graph  $G$  and; in addition,  $|R| = |M| - 1$  iff  $G$  is a complete graph.

**Proof.** If  $G$  is a complete graph, then any two heavy edges generate  $K_4$  which is in this case, a blossom pair. Thus  $R$  must contain all but one edge of  $M$ . Suppose  $G$  is not complete. Let  $x$  and  $y$  be non-adjacent vertices which are endpoints of heavy edges  $e$  and  $e'$ , respectively. Any blossom pair containing either  $e$  or  $e'$  must contain at least one other heavy edge  $e'' \neq e$  or  $e'$ . Then  $M \setminus \{e, e'\}$  is a set of representatives of  $b$  and the lemma follows.

**Proof of Theorem 3.** Let  $e_i = x_i y_i$  for each  $e_i \in R$ . Delete the vertices  $x_i$  and  $y_i$  for  $i = 1, \dots, p$ , along with incident edges from  $G$ .  $M \setminus R$  is a perfect matching for the resulting graph  $G_1$ . Since  $R$  is an m.s.r. for  $b$ ,  $G_1$  contains no blossom pair, hence is  $K-E$ . Then  $\beta_0(G) \geq \beta_0(G_1) = n(G) - \beta_1(G) - |R|$  and so

$$\beta_0(G) \geq n(G) - \beta_1(G) - |R| \quad (6)$$

For  $n \geq 3$ , let  $\mathcal{G}_n$  be the class of all  $n$ -vertex graphs which admit perfect matchings and for which inequality (6) is strict. By Lemma 2  $K_n \notin \mathcal{G}_n$ . Therefore, the class  $\mathcal{G}_n$  contains a graph  $G$  which has a maximal number of edges.  $G$  admits a simple description: if  $S$  is a maximum independent set of vertices, then  $G \setminus S$  is a complete subgraph, and  $sx$  is an edge of  $G$  for each  $s \in S$  and for each  $x \in G \setminus S$ . This description of  $G$  must hold; for if not, an edge  $uv$  can be added to  $G$  where at least one of the vertices  $u$  or  $v$  is in  $G \setminus S$ . The resulting graph  $G_1$  has the properties

(1)  $\beta_0(G_1) = n(G_1) - \beta_1(G_1) - |R(G_1)|$  by maximality of  $G$  in  $\mathcal{G}_n$ ,

(2)  $|R(G)| \leq |R(G_1)|$  and

(3)  $\beta_0(G) = \beta_0(G_1)$ .

(1), (2), and (3) imply (along with the fact that obviously  $\beta_1(G_1) = \beta_1(G)$ );

$$\beta_0(G) = \beta_0(G_1) = n(G_1) - \beta_1(G_1) - |R(G_1)| \leq n(G) - \beta_1(G) - |R(G)|$$

a contradiction to  $G \in \mathcal{G}_n$ . With this description of  $G$ , the proof proceeds as follows. Let  $B$  be a blossom pair. Suppose every heavy edge in  $B$  has an endpoint in  $S$ . Consider the subgraph  $G(B)$  generated by the vertices spanned by the heavy edges of  $B$ . Since  $G(B) \cap (G \setminus S)$  is complete,  $G(B) \cap S$  is a maximum independent set of  $G(B)$  which consists of one endpoint of each edge of the perfect

matching  $M \cap G(B)$ . This contradicts Theorem 1. Thus every blossom pair of  $G$  contains a heavy edge whose endpoints both lie in  $G \setminus S$ . Let  $G'$  be the subgraph of  $G \setminus S$  generated by the vertices spanned by heavy edges of  $G \setminus S$ .  $G'$  is complete, so by Lemma 2, an m.s.r.  $R'$  must contain all but one heavy edge of  $G'$ . Thus an m.s.r.  $R$  for  $G$  must contain all but possibly one heavy edge  $e'$  of  $G'$ . On the other hand, Lemma 1 requires that  $R$  contain one heavy edge  $e$  which has one endpoint in  $S$ . Let  $R'$  be obtained by deleting  $e$  from  $R$  and replacing it by  $e'$ . Then  $|R'| = |R|$  and  $R'$  is clearly a set of representatives of the family  $b$  of blossom pairs of  $G$ . Thus  $R'$  is an m.s.r. for family  $b$ . Since no edge in  $R'$  has a vertex in  $S$ ,  $\beta_0(G) \leq n(G) - \beta_1(G) - |R'|$  by Lemma 1. This inequality, in turn, contradicts  $G \in \mathcal{G}_n$ , and hence; implies  $\mathcal{G}_n$  is empty, completing the proof.

A maximum independent set  $S$  for an arbitrary graph  $G$  with perfect matching  $M$  can be computed easily once the difficult problem of finding an m.s.r.  $R$  for the family  $b$  of blossom pairs has been solved. Let  $G_1$  be the graph obtained by deleting the vertices  $x_i, y_i$  spanned by heavy edges  $e_i \in R$ ,  $i = 1, \dots, p$  along with all incident edges from  $G$ . Then  $G_1$  is K-E so

$$\begin{aligned}\beta_0(G_1) &= n(G_1) - \beta_1(G_1) = (n(G) - 2p) - (\beta_1(G) - p) \\ &= n(G) - \beta_1(G) - p = \beta_0(G).\end{aligned}$$

That is to say; a maximum independent set of vertices  $S$  for  $G_1$  (computed by Algorithm A) is a maximum independent set of vertices for  $G$ .

**Example 2.** View Fig. 2.  $R = \{e_1, e_2\}$  is an m.s.r. and vertices  $\{1, 5, 7, 9, 13\}$  form a maximum independent set for  $G_1$ , hence for  $G$ .

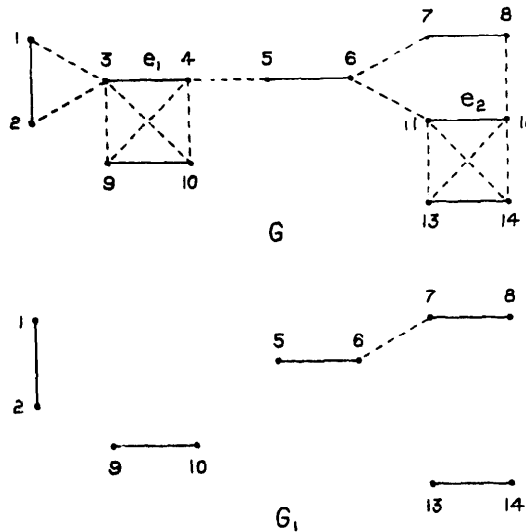


Fig. 2

### 5. A decomposition of arbitrary graphs

The relation “ $e$  is biconnected to  $e'$ ” defined in Section 2 is an equivalence relation on the set  $M$ , a perfect matching for a graph  $G$  (or for its extension  $G'$  when  $G$  does not admit a perfect matching). Let  $M_1, M_2, \dots, M_q$  be the equivalence classes which contain more than one edge and let  $M_0$  be the set of remaining edges. Let  $G_0, G_1, \dots, G_q$  be the subgraphs of  $G$  generated by the vertices spanned by the edges of  $M_0, \dots, M_q$ , respectively.  $G_0$  is K-E, and each blossom pair  $B$  is contained in exactly one of  $G_1, \dots, G_q$ . (Theorem 2) Thus, if  $R_i$  is a minimum set of representatives of the set  $\{B_i\}$  of blossom pairs contained in  $G_i$  for  $i = 1, \dots, q$ , then  $R = \bigcup \{R_i : i = 1, \dots, q\}$  (disjoint union) is an m.s.r. for the set of blossom pairs in  $G$ . Moreover

$$\begin{aligned} \sum_{i=0}^q \beta_0(G_i) &= \sum_{i=0}^q (n(G_i) - \beta_1(G_i) - p(G_i)) \\ &= n(G) - \beta_1(G) - p(G) \\ &= \beta_0(G) \end{aligned} \quad (7)$$

where  $p(G_i) = |R_i|$  and  $p(G) = \sum |R_i|$ . A stronger result is contained in Theorem 4, below. Certain properties of the decomposition  $G_0, \dots, G_q$  follow easily from the definition and Theorem 2.

If  $x$  and  $y$  are vertices of  $G_i$  and  $G_j$  respectively where  $i \neq j$  and  $i, j \neq 0$ , then there is no path  $p(x, y)$  of the form  $x, v_1, v_2, \dots, v_{2k}, y$  ( $k \geq 0$ ) where  $v_1 v_2, \dots, v_{2k-1} v_{2k}$  are heavy edges and the remaining edges are light. In particular no vertex of  $G_i$  is adjacent to a vertex of  $G_j$  when  $i, j \neq 0$ . (8)

If  $x$  and  $y$  are vertices of  $G_i$ ,  $i \neq 0$ , then there is no path  $p(x, y)$  of the form  $x, v_1, \dots, v_{2k}, y$  ( $k \geq 1$ ) where  $v_1 v_2, \dots, v_{2k-1} v_{2k}$  are heavy edges in  $G_0$  and the remaining edges are light. (9)

(8) follows from the fact that  $x$  is the endpoint of a heavy edge  $xx'$  in  $G_i$ . Since  $i \neq 0$ ,  $xx'$  is biconnected to a heavy edge  $uu'$  in  $G_i$ . In particular there are alternating light-heavy paths  $p(x', u)$ ,  $p(x', u')$  each beginning and ending with a light edge. Similarly,  $y$  is adjacent to  $y'$  via a heavy edge  $yy'$  in  $G_j$  and there are light-heavy alternating paths  $p(y', w)$ ,  $p(y', w')$  where  $ww'$  is a heavy edge of  $G_j$ . If the path  $p(x, y)$  exists as described in (1), then  $uu'$  and  $ww'$  are biconnected by the paths and edges,  $p(x', u)$ ,  $p(x', u')$ ,  $xx'$ ,  $p(x, y)$ ,  $p(y', w)$ ,  $p(y', w')$  and  $yy'$ . But  $M_i$  and  $M_j$  are disjoint by definition. (9) follows by a similar argument showing that if a path  $p(x, y)$  has heavy edges  $v_1 v_2, \dots, v_{2k-1} v_{2k}$  in  $G_0$ , then each is biconnected to a heavy edge  $yy'$  for instance, in  $G_i$ . This contradicts the fact that no edge of  $M_0$  is biconnected to another edge. Thus no such path  $p(x, y)$  exists.



**Theorem 4.** Let  $S_i$  be any maximum independent set of vertices for  $G_i$ ;  $i = 1, \dots, q$ . Then there is a maximum independent set  $S_0$  of vertices for  $G_0$  such that  $S = \bigcup \{S_i : i = 0, 1, \dots, q\}$  is a maximum independent set of vertices for  $G$ .

**Proof.** For any  $S_0$ , sets  $S_0, \dots, S_q$  are disjoint; so  $|S| = \sum_{i=0}^q |S_i|$ . Since  $|S_i| = \beta_0(G_i)$  and from (7),  $\beta_0(G) = \sum_{i=0}^q \beta_0(G_i)$ , we have  $\beta_0(G) = |S|$ . By (8), no vertex of  $S_i$  is adjacent to a vertex of  $S_j$  when  $i \neq j$  and neither  $i$  nor  $j$  is 0. Thus,  $S' = \bigcup \{S_i : i = 1, \dots, q\}$  is independent in  $G$ . It suffices to show that  $S_0 \subseteq G_0$  can be chosen so that  $S' \cup S_0 = S$  is independent in  $G$ . Let  $T$  be the set of vertices in  $G_0$  which are adjacent to some vertex of  $S'$ . Delete the vertices of  $T$ , along with incident edges, from  $G_0$ . Let  $H$  be the resulting subgraph of  $G_0$ . The remaining set of heavy edges  $M'_0$  constitute an edge-matching for  $H$ . We show that  $M'_0$  is a maximum edge matching. Let  $x_1$  and  $x_2$  be vertices of  $H$  which are exposed relative to  $M'_0$ . Suppose there is an alternating path (augmenting path)  $p(x_1, x_2) = x_1, v_1, v_2, \dots, v_{2k}, x_2, k \geq 0$  in  $H$  where edges  $v_1v_2, \dots, v_{2k-1}v_{2k}$  are heavy. Since  $M_0$  is a perfect matching for  $G_0$ ,  $x_1$  and  $x_2$  are endpoints of heavy edges  $x_1y_1, x_2y_2$  in  $M_0$  where  $y_1, y_2$  are vertices belonging to  $T$ . Let  $y_1$  be adjacent to vertex  $u_i \in S_i$  and  $y_2$  be adjacent to  $u_j \in S_j$  where  $i, j \neq 0$ . The resulting alternating path  $p(u_i, u_j) = u_i, y_1, p(x_1, x_2), y_2, u_j$  contradicts property (8) if  $i \neq j$ , and (9) if  $i = j$ . Thus, the augmenting path  $p(x_1, x_2)$  cannot exist and so  $M'_0$  is a maximum matching for  $H$ . Now, both  $G_0$  and  $H$  are K-E, so

$$\beta_0(G_0) = n(G_0) - |M_0| \quad \text{and} \quad \beta_0(H) = n(H) - |M'_0|.$$

Since  $M_0$  is a perfect matching for  $G_0$ ,  $|M'_0| = |M_0| - |T|$ . Thus  $n(G_0) - |M_0| = n(H) - |M'_0|$ ; that is,  $\beta_0(G_0) = \beta_0(H)$ . Thus, we may choose  $S_0$  to be a maximum independent set of vertices in  $H$  and the last equality shows that  $S_0$  will be a maximum independent set of vertices of  $G_0$  also. Since no vertex of  $S_0$  can be adjacent to a vertex of  $S_i$ ,  $i \neq 0$ ;  $S = S' \cup S_0$  is independent in  $G$ , which completes the proof.

If  $i \neq j$  and neither  $i$  nor  $j$  is 0 then no vertex of  $G_i$  is adjacent to a vertex of  $G_j$  by (8). The decomposition of  $G$  into the subgraphs  $G_0, G_1, \dots, G_q$  can therefore be obtained by calculating  $G_0$  and removing it from  $G$ . The subgraphs  $G_1, \dots, G_q$  are then the usual connected components of  $G \setminus G_0$ . Algorithm B is a polynomially-bounded algorithm which computes  $G_0$  - the subgraph generated by vertices spanned by all heavy edges of  $G$  which are not biconnected to another heavy edge relative to a perfect matching  $M$  for  $G$ . The set of heavy edges  $M_0 \subseteq M$  which generate  $G_0$  are equivalently characterized as those heavy edges not belonging to a blossom pair. (See Theorem 2.) Let  $M$  be a perfect matching for  $G$  (or for the extension  $G'$  of  $G$  associated with a maximum matching for  $G$  when  $G$  does not admit a perfect matching as in Section 2).

**Algorithm B.**

*Step 0.* Set UNSCAN =  $H = G$ ,  $G_0 = \emptyset$ .

*Step 1.* If UNSCAN =  $\emptyset$  stop. Otherwise set FLAG = 0, choose a heavy edge  $uv$  in UNSCAN, and set  $x_0 = u$ ,  $y_0 = v$ .

**Step 2.** Color  $x_0$  red,  $y_0$  blue.

**Step 3.** If no uncolored vertex of  $H$  is adjacent to a red vertex, go to Step 5.

**Step 4.** Choose an uncolored vertex  $y \in H$  adjacent to a red vertex  $x$  (necessarily via a light edge). Then  $y$  is adjacent to an uncolored vertex  $w$  via a heavy edge  $yw$ . Color  $w$  red and  $y$  blue. Return to Step 3.

**Step 5.** If two red vertices are adjacent, go to Step 6. Otherwise, add the vertices  $x_0, y_0$  to  $G_0$ , delete vertices  $x_0, y_0$  from UNSCAN and from  $H$ , erase all colors and return to Step 1.

**Step 6.** If  $\text{FLAG} = 0$ , go to Step 7. Otherwise delete vertices  $x_0, y_0$  from UNSCAN, erase all colors and return to Step 1.

**Step 7.** Set  $x_0 = v$ ,  $y_0 = u$ ,  $\text{FLAG} = 1$ , and return to Step 2.

The subgraph " $G_0$ " produced by Algorithm B is the subgraph spanned by vertices of the edges of  $M_0$ . That is; a heavy edge  $uv$  is placed in  $G_0$  by Algorithm B iff  $uv$  does not belong to a blossom pair. The reason is that  $uv$  belongs to a blossom pair iff there are alternating paths  $u, x_1, \dots, x_{2k}$  ( $k \geq 1$ ) and  $v, y_1, \dots, y_{2l}$  where  $x_1x_2, \dots, x_{2k-1}x_{2k}$  and  $y_1y_2, \dots, y_{2l-1}y_{2l}$  are heavy edges and  $x_{2k}$  and  $y_{2l}$  are blossom tips (possibly the same blossom tip). When  $\text{FLAG} = 0$  and  $u = x_0$ ,  $x_{2k}$  is colored red. Subsequent coloring of the blossom containing  $x_{2k}$  leads to red vertices which are adjacent. Similarly, when  $\text{FLAG} = 1$ , and  $x_0 = v$ ,  $y_{2l}$  is colored red with the subsequent coloring of the blossom containing  $y_{2l}$  giving adjacent red vertices. Thus  $uv \notin M_0$  iff in both cases  $\text{FLAG} = 0$  and  $\text{FLAG} = 1$ , adjacent vertices are colored red. But this is precisely the test used in Algorithm B to reject  $uv$  from membership in  $G_0$ . Finally deleting an edge  $uv$  once it is found to be in  $M_0$  cannot affect later test for a heavy edge  $u'v'$ . If  $u'v'$  belongs to a blossom pair of  $G$  then  $u'v'$  belongs to a blossom pair of  $G \setminus uv$ . Otherwise,  $uv$  and  $u'v'$  belong to a common blossom pair. But this contradicts the fact that  $uv \in M_0$ .

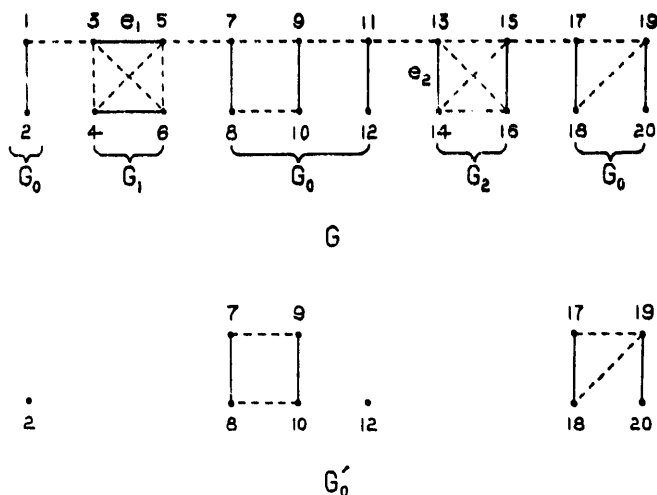


Fig. 3

**Example 3.** View Fig. 3.  $G'_0$  in this example is derived from the choice  $S_1 = \{3\}$ ,  $S_2 = \{13\}$ . A maximum independent set in  $G'_0$ , hence for  $G_0$  is  $S_0 = \{2, 7, 10, 12, 17, 20\}$ . Then  $S = \{2, 3, 7, 10, 12, 13, 17, 20\}$  is a maximum independent set for  $G$ . Note that  $R = \{e_1, e_2\}$  for example is an m.s.r. for the family of blossom pairs. Thus  $\beta_0 = 8$ ,  $\beta_1 = 10$ ,  $|R| = 2$  and  $n = 20$ . These numbers satisfy  $\beta_0 = n - \beta_1 - |R|$ .

## 6. Conclusion

Algorithms for computing independence numbers for arbitrary graphs have time bounds of  $O(a^n)$  where  $1 < a \leq 2$  and  $n$  is the number of vertices of the graph. The decomposition of an arbitrary graph  $G$  into subgraphs  $G_0, G_1, \dots, G_q$  obtained in Section 5 reduces this time bound

$$\text{from } O(a^{\sum_{i=1}^q n_i}) \text{ to } O\left(\sum_{i=1}^q a^{n_i}\right).$$

In attempting further reductions in bounds, attention can be confined to graphs of the type  $G_i$  for  $i \geq 1$ : graphs that admit a perfect matching and for which each heavy edge belongs to a blossom pair.

## References

- [1] J. Edmonds, Paths, trees, and flowers, *Canad. J. Math.* 17 (1965) 449–467.